

Buying and selling

Our Booster Innovation Fund (BIF) is an investment fund that invests in early stage companies founded on intellectual property originated or developed in New Zealand. You can invest in BIF directly with Booster, or on the NZX.

How do I purchase shares in BIF?

You can invest in the fund in two ways – directly with Booster, or on the NZX. Investing on the NZX can be facilitated by your broker or through Booster on your behalf.

How do I buy units on the NZX?

You can buy units in the fund through an NZX Participant such as a broker, just like ordinary shares. If you do not have a broker, you can find a list on the NZX website [here](#). Or, if you prefer, Booster can facilitate this on your behalf.

How do I manage my investment?

If you invested via the NZX your investment will be managed by your broker. You can instruct them to transfer your holdings to Booster's platform if you would prefer to manage your investments there.

If you hold your investments through Booster, you'll be given access to mybooster to manage your account.

How can I sell my units?

To sell your units, you will need to contact your broker. If you do not have a Broker, you can find a list on the NZX website [here](#).

If I buy on the NZX, do I have to sell on the NZX?

No – you have the freedom to either sell on the NZX or withdraw directly from the fund.

However, because of the nature of the investments of the fund, cash available for withdrawals is limited and on a quarterly basis. A withdrawal charge of 10% will apply, and your withdrawal may be scaled down if there is insufficient cash to meet all withdrawal requests.

To process a withdrawal request, contact us or your financial adviser.

If I invest in the fund directly, can I then sell on the NZX?

Yes.

How do I sell on the NZX if I invested directly into the fund?

You can either:

- Instruct your broker to sell. You will need to get your holdings transferred to your broker before they will be able to.
- Instruct Booster to sell on your behalf. If you want to do this just give us a call and we will talk you through how to do this.

Please ensure you have your CSN or Holder Number, and your Authorisation Code (FIN) when you place your order to sell your units with a broker.

What is a Common Shareholder Number (CSN)?

All investors who purchase securities listed on the NZX through a broker, will be allocated a CSN which will be your common holder number across all NZX listed securities. The CSN will appear on your Securities Transaction Statements, Payment Advices and other correspondence you receive from our unit registrar for the fund, Link Market Services (Link). If you wish to confirm your correct CSN, please contact Link using the details [here](#).

What is an Authorisation Code (FIN)?

This is a four-digit number, similar to your PIN on your bankcard, and will be issued to you by Link if you are a first-time investor. You will need to quote your FIN number to your Broker when you trade (buy or sell) your securities. It should be stored in a secure place and not be divulged for any other purpose than trading your shares. If you are unable to locate your FIN please contact Link using the details [here](#).

Please be aware that for security purposes, FIN numbers will not be disclosed over the phone or by email. Instead, a replacement FIN advice will be dispatched to you to your mailing address as held on the register.